

Submission Guidelines

Open Call

Chile Country Program

The BHP Foundation (Foundation) is a charitable organization, funded by BHP (a leading global resources company), that works to address some of the most critical global sustainable development challenges facing our generation.

By working in partnership with others, we seek to raise the bar, find new solutions and set new standards for the future. Through our programs we address challenges that are directly relevant to the resources sector.

Global Signature Programs in each of the three areas on which we focus are complemented by our Country Programs, which aim to support key development priorities in Australia, Canada, Chile and the United States.

These initiatives are designed to enhance the contribution of the global Sustainable Development Goals.

The Foundation's three Global Signature Programs are:

Natural Resource Governance. Harness the transformative power of natural resource wealth for sustainable and inclusive human development.

Environmental Resilience. Drive new ways of conserving and sustainably managing natural environments for the benefit of future generations.

Education Equity. Develop the potential of disadvantaged young people to access quality education and build more inclusive societies.

Over the past three years, the Foundation has defined its strategy, establishing a portfolio of Programs and Projects being implemented by 30 partner organizations across 36 countries. The Foundation selects the Projects it supports for their potential to forge new models for addressing complex sustainable development problems, and to capture and share lessons that help to build a results-based platform of evidence

Chile Country Program

From global to local

Along with its Global Signature Programs, the Foundation is developing and delivering Country Programs through which it aims to contribute to the improvement of long-term economic, social and environmental sustainability in Australia, Canada, Chile and the United States.

The Chile Country Program evaluates opportunities that can help to overcome some of the most important challenges facing the country on its road to full development.

In Chile, the Foundation's work focuses on three priority areas that guide project design and delivery:

- 1. Greater access to improved educational opportunity.** Support the inclusion of more disadvantaged and vulnerable young people in education and strengthen their civil and economic participation in society.
- 2. Strengthening governance and institutions.** Enhance the capability of institutions, organizations and groups to participate in decision-making processes that affect them.
- 3. Community and environmental resilience.** Enhance the resilience of communities in response to rapid socio-economic and environmental change.

To assess potential new projects in line with the strategy defined for the Chile Country Program, the Foundation has defined a rigorous three-stage evaluation process: Identification, Selection and Definition.

In undertaking this process, the Foundation looks for projects that:

- Address common challenges through cross-sector dialogue and problem-solving;
- Take a sustainable approach to the implementation of projects lasting up to five years that aim to achieve significant changes;
- Strengthen the capacity of civil society and institutions to enable positive change in the lives of citizens;
- Draw on expertise from leaders in relevant fields to support project design, implementation and monitoring;
- Focus on learning, building evidence and sharing best practices to enhance outcomes and impact; and Support transformative change through leadership, innovation and technology implemented locally by Chilean and/or international organizations.

Open Call for proposals

Looking for new solutions for sustainability in Chile

As part of stage one of its three-stage evaluation process, the Foundation invites international and Chilean non-profit organizations to submit proposals aligned with the Foundation's Chile Country Program strategy for projects to be implemented in Chile, which would meet the Foundation's due diligence and compliance requirements (refer to the Proposal Form).

We seek innovative projects that will help to close gaps in the areas of access to improved educational opportunity, strengthening governance and institutions, and community and environmental resilience, through high-standard solutions that have the potential to generate replicable and scaleable models.

The call to submit applications is being made through direct invitation (letter of invitation), open call in the media, social networks and other channels (such as advertising and press articles) and internal diffusion through corporate media (such as newsletters and website).

The evaluation process is to comprise several stages as described below in the *Open Call evaluation process* section of this document.

Any submissions and project proposals received by the Foundation through the Open Call process will be used solely for the purpose of assessment against the Chile Country Program strategy criteria, will not be published and will only be shared, on a confidential basis, with third parties assisting the Foundation with its assessment.

The Foundation reserves the right to invite or receive project proposals outside the Open Call process (without further notification) and/or to fund a project not in compliance with the Open Call process. The Foundation reserves the right to discontinue the Open Call process at any time and without notification.

Open Call evaluation process

Non-profit Chilean or international organizations may apply to the Open Call with proposals for projects to be implemented in Chile that are aligned with the Foundation's Chile Country Program strategy.

The evaluation process will involve technical and qualitative evaluation of the submissions, including application of the Foundation's due diligence and compliance requirements.

The evaluation of projects will be carried out according to the criteria defined by the Foundation. An expert Advisory Panel, appointed by the Foundation, may be used to assist the Foundation's management to identify any projects suitable (based on these criteria) to be submitted to the Foundation's Board for consideration.

The weighting of project attributes will consider factors that may include alignment with the Foundation's Chile Country Program strategy, governance, experience in similar projects, innovation, scalability of the model, impact and scope, outcome indicators and planning and distribution of the budget.

The process will comply with any Chilean legal requirements, to the extent applicable to the Foundation and the Open Call process.

Proposal eligibility

The Foundation can only fund projects that are for charitable purposes and meet the Foundation's rigorous due diligence and compliance requirements. Projects eligible for funding under the Chile Country Program must advance one or more of the following priority areas (as noted above):

- 1. Greater access to improved educational opportunity.** Support the inclusion of more disadvantaged and vulnerable young people in education and strengthen their civil and economic participation in society.
- 2. Strengthening governance and institutions.** Enhance the capability of institutions, organizations and groups to participate in decision-making processes that affect them.
- 3. Community and environmental resilience.** Enhance the resilience of communities in response to rapid socio-economic and environmental change.

Examples of what the Foundation would not intend to fund under the Chile Country Program include:

- Direct donations or grants to individuals
- For-profit organizations or companies
- Construction or infrastructure improvement projects
- Political campaigns and lobbying activities.

In general, the Foundation will consider projects of a duration of up to five years under this Open Call.

Three-stage process

Identification.

During this first stage, interested organizations are invited to present proposals (as a "Concept Paper") aligned with the Foundation's Chile Country Program strategy by completing the Proposal Form available at bhp.com/community/bhp-foundation/chile. This must be completed in two copies, in English and Spanish, and be emailed to opencall@bhpfoundationchile.cl. The completed forms will be received until 30 April 2019. Submissions received after the deadline or that do not comply with the required criteria and standards and these Submission Guidelines may not be accepted for evaluation. Due to the large expected number of applications, the Foundation will only contact applicants who are selected to go on to the next stage of the process.

Selection.

If a submission is selected to advance to this Selection stage, the person indicated in the Proposal Form as the contact at the organization will receive an email to this effect and, if necessary, a request for additional information.

In this stage, the Foundation's management, assisted by the Advisory Panel, may select one or more Concept Papers to present to the Foundation's Board for consideration. Any approved Concept Papers will then go on to the third and final "Definition" stage of the process.

It is important to note that approval by the Foundation's Board for a Concept Paper to progress to "Definition" does not imply that the project will be subsequently approved for funding by the Foundation.

Definition.

Concept Papers approved by the Foundation's Board at the Selection stage will have to complete a full project design in the Definition stage. Project designs recommended by Foundation management will be presented to the Board for consideration.

Award.

Organizations for any projects approved by the Board following the Definition stage will be contacted directly by the Foundation's representative in Chile.

Implementation.

Organizations to which funds are awarded will have to sign a Grant Agreement with the Foundation, which must be approved by both parties, following completion of the Foundation's final due diligence and compliance requirements. The Agreement will specify the rights and obligations of both parties, including the dates of payment of the funds, the project's plans and implementation milestones, outcome indicators and progress reports.

All selection and funding decisions throughout the Open Call process (including any decisions not to select Concept Papers to advance or not to award funding to any project submitted through the Open Call process) rest entirely with the Foundation's Board. There will be no right of appeal against any such decisions and applicants are not entitled to receive reasons for decisions (or any other feedback) at any stage of the process.

Indicative Timeline 2019

Stage	Date
Deadline for submission of Concept Papers	30 April
Notification of any projects (Concept Papers) selected to advance to the Selection stage	2 — 5 May
Period for request of additional information	6 — 10 May
End of project: evaluation period	31 May
Presentation of any selected project to the Foundation Board	20 June
Notification of any projects approved to advance to the definition stage	1 July
End of final project design period	1 September
End of final project evaluation period	1 October
Second Foundation Board meeting	3 December
Notification to relevant organization(s) of any projects approved for funding	15 December

Acceptance of conditions

- By submitting a Proposal Form (or any other form of project proposal) to this Open Call process, it is understood for all legal purposes that the organization (and all persons associated with the submission) knows and accepts the full content of these Submission Guidelines and consents to the outcomes of the process.
- Applicants must strictly comply with Chilean legislation, specifically as applicable to this Open Call process and the corresponding implementation of any project approved for funding.
- By submitting a Proposal Form (or any form of project proposal), applicants declare that all information contained in the application is accurate and complete.