## **CURRICULUM VITAE**

### **ROSS GREGORY GARNAUT**

**BIRTH**: 28 July 1946, Perth, Western Australia

**EDUCATION**: Perth Modern School

The Australian National University, B.A., 1967; PhD, 1972.

**FAMILY:** Married to Jayne, with sons John (born 1974) and Anthony (born 1977).

## **HONOURS**

Officer of the Order of Australia (AO). Fellow of the Academy of Social Sciences of Australia (FASSA). Honorary Professor, Chinese Academy of the Social Sciences

# **CURRENT COMMITMENTS**

Professor of Economics, Research School of Pacific and Asian Studies (from 1989), The Australian National University.

Chairman, Lihir Gold Ltd (from 1995).

Chairman of the International Advisory Group to the Papua New Guinea Prime Minister (from 1999); Member of the Advisory Council to the Australian Minister for Foreign Affairs (from 1997).

Chairman of the Pacific Economic Co-operation Council's economic forecasting group.

Member of the Boards of various international research organisations including China Center for Economic Research (Beijing); Centre for Strategic and International Studies (Jakarta).

Member of the Editorial Boards of Journal of Asian Pacific Economic Literature (Chairman); Bulletin of Indonesian Economic Studies (Chairman); Singapore Economic Review, The Australian Economic Review.

#### **SUMMARY OF CAREER**

Ross Garnaut has had a professional base at The Australian National University throughout his career, and from that base has made major contributions in part-time positions and through lengthy secondments, in business, government and diplomacy. The consistent themes of his career have been the analysis and management of development and international economic relations in Asia and the Pacific and of national policy in Australia.

## **BUSINESS**

Chairman of Lihir Gold Ltd (1995 to present). Was foundation Chairman of the company, formed to develop a major gold mine in Papua New Guinea. Led the company through the Initial Public Offering on the Australian (ASX) and American (NASDAQ) stock exchanges in 1995, which financed construction of the mine, through first production 1997, and through its subsequent development. Has managed at Board level substantial change in ownership, Board composition and financial structure to facilitate successful operation in a low gold price environment. Currently approximately 120th in market capitalisation on ASX.

Chairman of the Board of Directors, Primary Industry Bank of Australia (1989-94). A small, initially Commonwealth Government-owned bank specialising in rural lending established by the Fraser-Anthony government in the early 1980s, and subsequently purchased by the Bank of Western Australia. Underwent considerable expansion and major structural change to build a commercial focus during the period of Garnaut's chairmanship, while coming to generate consistently high returns on equity, in the latter years the highest of Australian banks. Prepared for privatisation and sold to the major European agricultural bank Rabobank in 1994.

Chairman of the Board of Directors, Bank of Western Australia Ltd (BankWest), 1988-95 (appointed by Downing Labor Government, reappointed by Court Coalition Government). Led the re-organisation of a State bank with one quarter of the banking business in Western Australia, which was reflected in improvement in its performance from the low returns associated with the old state banks to the upper ranks of returns on equity in Australian banking in the year immediately preceeding successful privatisation in 1995. Prepared the bank for privatisation (effected by sale to Bank of Scotland and later partial re-sale through public float on the Australian Stock Exchange). Had personal responsibility for the complex restructuring of the top layers of management in preparation for commercialisation and corporatisation and for development of the privatisation strategy and appointment of the executive leadership to take it forward. As a result of his personal initiatives as Chairman, the Bank of Western Australia was

the first of the Australian commercial banks to recognise the deterioration of quality of loan assets in the 1989-91 recession; the firm and timely action taken at the time caused the Bank of Western Australia to be the only State Bank in Australia to emerge strongly from the recession.

Previously Chairman of the Board of Directors of Aluminium Smelters of Victoria (1988 and 1989). (A good record, but personal contributions within the normal boundaries of non-executive Board leadership.)

# **GOVERNMENT AND DIPLOMACY**

For more than two decades, has been frequently consulted on economic and foreign policy at ministerial including Prime Ministerial level in Australia and several other Asia Pacific countries

Author of Australia and the Northeast Asian Ascendancy, presented to the Australian Prime Minister and Minister for Foreign Affairs and Trade, October 1989. Recognised as marking a historic point of change in Australian international economic policy and in domestic policy reform.

Currently Chairman of the Papua New Guinea Prime Minister's International Advisory Group, to periodically review progress, report to the Government and provide advice on next steps on the Morauta Government's programme of privatisation of state-owned business.

Australian Ambassador to the People's Republic of China (1985-1988). This was a time known for a considerable expansion and diversification of Sino-Australian economic, educational and political relations. Highlights included the beginning of two-way direct foreign investment, including the negotiation of what were the first large- scale Chinese direct investments abroad, in the Mt Channar iron ore mine (with Hammersley Iron) and the Portland aluminium smelter (with ALCOA), and the beginnings of the successful Australian challenge to American primacy in research and graduate education involving China.

Principal economic adviser to Australian Prime Minister R.J.L. Hawke, 1983-1985. Closely involved in all Commonwealth economic policy-making on major matters during this period. Garnaut made a distinctive contribution to the international orientation of economic policy of the Hawke government, including policy on financial and trade liberalisation, the Australian initiation of Western Pacific regional consultations on international trade issues and what became the Uruguay Round, and the intensification of relations with China, Japan and other Asia Pacific economies. Was a special emissary of the Prime Minister on trade policy issues to Indonesia, Malaysia, Thailand, Singapore, the Philippines, the People's Republic of China, Hong Kong, Republic of Korea and Japan.

Research Director, ASEAN-Australia Economic Relations Research Project, 1981-83. This project, an initiative of Prime Minister Fraser, was instrumental in establishing what became the principal networks linking economists in Australia, Indonesia, Singapore, Malaysia Thailand and the Philippines. In the course of establishing the project in 1979, with Professor H.W. Arndt, engaged in consultations with governments and academic institutions in all ASEAN countries, on Australian-ASEAN economic relations, at the request of Prime Minister Fraser.

Assistant Chairman (to Sir John Crawford), of the first Pacific Economic Cooperation Conference, the direct antecedent of Asia Pacific Economic Cooperation (APEC), in 1980. In preparation for the meeting, at the request of Prime Ministers Ohira of Japan and Fraser of Australia, engaged in consultations on the way forward in Asia Pacific Economic Co-operation, at Cabinet and head of government level, in Indonesia, Malaysia, Singapore, Thailand, the Philippines, Korea, Japan, Fiji, Papua New Guinea, the United States and Canada.

First Assistant Secretary, (Head of the General Financial and Economic Policy Division), and Deputy to Finance Secretary Mekere Morauta, Papua New Guinea Ministry of Finance, 1974 to 1976, which straddled Independence in September 1975. This work is remembered especially for the framework of fiscal and monetary stability that was established at that time, and for innovations in mining fiscal policy and agreements. A strong professional team of Papua New Guineans and expatriates was established, which retained its strength and coherence until the late 1980s. Major economic policy events over the period for which Garnaut had direct management responsibility in the Ministry of Finance, included the introduction of a national currency (1 January 1976) and the post-independence establishment of Papua New Guinea's international credit worthiness without an Australian government guarantee. For one and a half decades after Independence Papua New Guinea was known for its macro-economic stability (inflation substantially lower than in Australia and no currency convertibility or debt problems) and for the effectiveness of its mineral taxation policy and law (the Resource Rent Tax introduced in the mid-1970s has been emulated in about a dozen countries, including for petroleum in Australia), on the basis of policies locked in place 1974-76.

Other experience includes as Member and Deputy Chairman of the Australia China Council (1989-93); member of the Advisory Council to the Australian Minister for Foreign Affairs (1997 to present); head of the Australian delegation to South Africa (reporting to the leadership of the African National Congress) for consultations on post-apartheid economic policy (1991) in response to a request from Nelson Mandela; head of the Australian Mission to Korea on economic policy and industrial relations in a new democracy (with the President of the Australian Council of Trade Unions and the Chief Executive of the Metal Trades Industries Association as the other members of the Mission), reporting to President Roh Tae Woo, 1989; leader of a group convened by the Asian

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Development Bank to advise Vietnamese leaders on responses to the East Asian financial crisis (reporting to the General Secretary of the Vietnamese Communist Party and the Premier), 1997; Senior Adviser, Commonwealth Secretariat, providing advice on fiscal and mining policy issues at Cabinet level to governments in Africa, the Caribbean and the South Pacific from time to time 1978-82, including for a period from a London base, 1981; various consulting and advisory assignments, for the World Bank, Asian Development Bank and International Monetary Fund and for governments at Cabinet and head of government level in Southeast Asia, China, Africa, the Caribbean, the South Pacific, Canada and New Zealand. Chairman of Board of Directors, Western Australia China Technical and Economic Research Fund 1988-95 (other members of Board were the Western Australian Minister for Resources Development and the Chinese Vice Minister for Metallurgical Industry); Chairman of the Wool Review Committee, which presented its report Wool: Structuring for Global Realities to the Australian Minister for Primary Industries and Energy in August 1993 (legislation embodying the Report's main recommendations was passed by both Houses of the Australian Parliament in 1993); adviser to the United States Internal Revenue Service and expert witness before the United States Tax Court on taxation of the international operations of American multinational companies in the resource sector; founding member of the Australia-United States Leadership Dialogue (participated in all annual meetings from 1991 except 2000).

#### **ACADEMIC**

Garnaut has occupied academic positions in the Institute of Advanced Studies at The Australian National University since graduating with a PhD in economics in 1972. His doctoral thesis Australian Trade with Southeast Asia: A Study of Resistances to Bilateral Trade Flows, was based on several months fieldwork in each of the original 5 ASEAN countries (Indonesia, Singapore, Malaysia, Thailand and the Philippines) in 1969 and 1970. He is author of 24 books and numerous influential scholarly articles on economic development, public finance and international economic relations in the Asia Pacific region. (list of publications available on request).

Since 1989, Garnaut has been Professor of Economics in the Research School of Pacific and Asian Studies at The Australian National University. From 1989 to 1998 he was Head of the Economics Department, and Economics Division. Building on contributions of three predecessors dating back to 1962 as head of the Economics Division of the Research School of Pacific and Asian Studies (Professors J.G. Crawford, H.W. Arndt and M.W. Corden), Garnaut helped to establish The Australian National University as the world's most important single centre for research and graduate education on the Asia Pacific economies, and Australia's most important centre of research and graduate education in economics. Over Garnaut's period as Head of Division, academic personnel increased fourfold and graduate students tenfold, entirely as a result of use of funding from non-University sources.

To consolidate its strengths in Asia Pacific economics and related areas of business and public policy, The Australian National University established a new Asia Pacific School of Economics and Management (APSEM) in 1998, with Garnaut as its Foundation Director to 2000. APSEM comprises six centres (Australia Asia Management Centre; Australia Japan Research Centre; Australia South Asia Research Centre; Economics Division; National Centre for Development Studies; Graduate Program in Public Policy) and three regional programs (Southeast Asian Economy Program; China Economy and Business Program; Korea Economy Program).

Garnaut has personally played major roles in building The Australian National University's research and graduate education strengths on the economies of Southeast Asia (including as Director of the Australia ASEAN Research Project from 1980 until 1983, and as Chairman of the Board of the Indonesia Project since 1989), China, Korea, India and Papua New Guinea, and on Asia Pacific Economic Co-operation. He has made influential analytic contributions in public finance and international economics. He was Adjunct Professor of Pacific Basin Economics at Columbia University in New York from 1988 to 1991

As Chairman of the Australian Centre for International Agricultural Research from 1994 to 2000, Garnaut was responsible to the Minister for Foreign Affairs for the management of a large research program (with an annual budget in his final year of \$48 million) in agricultural and related sciences in developing economies, principally in Asia and the Pacific. (Appointed by Keating Government in 1994; re-appointed by Howard Government in 1997). Led the Centre into and through a major Review, the implementation of some of the recommendations of which led to substantial management re-structuring to increase relevance and productivity.

As Chairman of the economic forecasting group of the Pacific Economic Cooperation Council, is responsible for co-ordinating the work of leading groups of economic analysts in 19 countries in the production twice a year of the forecasting publication *Pacific Economic Outlook*.

He was joint editor of Australian Quarterly 1992-96.

## **PUBLICATIONS**

# **Ross Gregory GARNAUT**

# Books, Monographs and Theses

- 2002 (with Ligang Song, (eds.), *China 2002, WTO Entry and World Recession*, Asia Pacific Press, Canberra.
- 2002 Resource Management in Asia Pacific Developing Countries, (ed), Asia Pacific Press, Canberra.
- 2001 Social Democracy in Australia's Asian Future, pp.311, Asia Pacific Press, The Australian National University and Institute of Southeast Asian Studies, Singapore.
- 2001 (with Ligang Song, Yang Yao and Xiaolu Wang), (authors), *Private Enterprise* in China, pp.152, Asia Pacific Press, The Australian National University, Canberra, and China Centre for Economic Research, Peking University, Beijing.
- 2001 (with Huang Yiping, (eds)), Growth Without Miracles, Oxford University Press.
- 1999 (with Ligang Song) (eds), *China: Twenty Years of Reform*, Asia Pacific Press, Canberra.
- 1998 (with Ross H. McLeod) (eds), East Asia in Crisis: from being a miracle to needing one?, Routledge, London and New York.
- 1996 Open Regionalism & Trade Liberalization: An Asia Pacific Contribution to the World Trade System, Institute of Southeast Asian Studies, Singapore.
- 1996 (with Guo Shutian and Ma Guonan) (eds), *The Third Revolution in the Chinese Countryside*, Cambridge University Press.
- 1995 (with E. Grilli and J. Riedel (eds), Sustaining Export-Oriented Development, Cambridge University Press.
- 1994 (with Peter Drysdale) (eds), Asia Pacific Regionalism: Readings in International Economic Relations, HarperEducation Publishers.
- 1994 Asian Market Economies: Challenges of a Changing International Environment, Institute of Southeast Asian Studies, Singapore.

- 1993 Structuring for Global Realities, Report of the Wool Industry Review Committee, Department of Primary Industries and Energy, Canberra.
- 1992 (with Ma Guonan), *Grain in China*, Australian Government Publishing Service, Canberra.
- 1992 (with Liu Guoguang) (eds), Economic Reform and Internationalisation: China and the Pacific Region, Allen and Unwin, Sydney.
- 1989 Australia and the Northeast Asian Ascendancy, Australian Government Publishing Service, Canberra.
- 1987 (with Kym Anderson), Australian Protectionism: Extent, Causes and Effects, Allen and Unwin, Sydney.
- 1986 (with Christopher Findlay) (eds), The Political Economy of Manufacturing Protection: Experiences of ASEAN and Australia, Allen and Unwin, Sydney.
- 1984 (with Paul Baxter), Exchange Rate and Macro-economic Policy in Independent Papua New Guinea, Australian National University, Pacific Research Monograph No.10.
- 1983 (with Anthony Clunies Ross), Taxation of Mineral Rents, Clarendon Press, Oxford.
- 1980 (ed.) ASEAN in a Changing Pacific and World Economy, Australian National University Press, Canberra.
- 1980 (with P.T. McCawley, eds), *Indonesia: Dualism, Growth and Poverty*, Australian National University, Research School of Pacific Studies, Canberra.
- 1979 (with C.G. Manning, in Indonesian language), Perubahan Sosial Ekonomi di Irian Jaya, Penerbit PT Gramedia, Jakarta.
- 1977 (with Michael Wright and Richard Curtain), "Employment, Incomes and Migration in Papua New Guinea Towns", Institute of Applied Social and Economic Research (Monograph No.6), Port Moresby.
- 1974 (ed.) "The Foreign Economic Relations of Papua New Guinea", Australian National University, *New Guinea Research Unit Bulletin* No.56, Port Moresby and Canberra.
- 1974 (with C. Manning), *Irian Jaya: The Transformation of a Melanesian Economy*, Australian National University Press, Canberra.

- 1972 "Australian Trade with Southeast Asia: A Study of Resistances to Bilateral Trade Flows", Australian National University doctoral dissertation, Canberra.
- 1968 (with R.K. Wilson), "A Survey of Village Industries in Papua New Guinea", Australian National University, New Guinea Research Unit Bulletin No.35, Port Moresby and Canberra.

# **Articles and Chapters in Books**

- 2002 "Catching up with America", in *CHINA 2002, WTO Entry and World*Recession, Ross Garnaut and Ligang Song (eds), pp. 1-16, Asia Pacific Press,
  Canberra.
- 2002 "Reflections on the New Institutional Economics", in Resource Management in Asia Pacific Developing Countries, Ross Garnaut (ed), pp. 1-9, Asia Pacific Press, Canberra.
- 2001 "Twenty Years of Economic Reform and Structural Change in the Chinese Economy", in *Growth Without Miracles*, Ross Garnaut and Yiping Huang (eds), pp.1-18, Oxford University Press, London.
- 2001 (with Guonan Ma), "Economic Growth and Stability in China", in *Growth Without Miracles*, Ross Garnaut and Yiping Huang (eds), pp.88-102, Oxford University Press, London.
- 2001 (with Yiping Huang), "China's Integration into the World Economy", in *Growth Without Miracles*, Ross Garnaut and Yiping Huang (eds), pp.436-458, Oxford University Press, London.
- 2000 Introduction "APEC ideas and reality: History and Prospects", in *Asia Pacific Economic Cooperation (APEC), Challenges and tasks for the twenty-first century*, Ippei Yamazawa (ed), pp.1-18, Routledge, London.
- 2000 (with Yiping Huang), "China and the future of the international trading system", in *China's Entry to the WTO*, Peter Drysdale and Ligang Song (eds), pp.7-29, Routledge Publishing, London.
- 2000 "One System: Two Countries, Australia and New Zealand in the International Community", (ed) Bruce Brown, *East Timor The Consequences*, pp.46-59, New Zealand Institute of International Affairs, Victoria University of Wellington.
- 2000 (with A.I. Clunies Ross), "Uncertainty, Risk Aversion and the Taxing of Natural Resource Projects", Paul Stevens (ed), *The Economics of Energy*, Vol. II, pp 116-131, Edward Elgar Publishing, UK.
- 2000 "East Asia after the financial crisis", in Reform and Recovery in East Asia, Peter Drysdale (ed), pp.13-28, Routledge Publishing, London.
- 2000 Introduction: "China's interest in APEC", with Ligang Song and Peter Drysdale, in APEC and Liberalisation of the Chinese Economy, Peter Drysdale,

- Zhang Yunling and Ligang Song (eds) pp 15-25, Asia Pacific Press, The Australian National University, Canberra.
- 2000 (with Dominic Wilson), The East Asian Financial Crisis: Regional and Global Responses", in *Private Capital Flows in the Age of Globalization*, Uri Dadush, Dipak Dasgupta and Marc Uzan (eds) pp.63-80, Edward Elgar Publishing, UK.
- 2000 "Indonesia-Australia Relations and East Timor" in *Indonesia In Transition*, Chris Manning and Peter Van Diermen, (eds) pp.87-90, Institute of Southeast Asian Studies, Singapore.
- 1999 "Twenty years of economic reform and structural change in the Chinese Economy" in *China: Twenty Years of Reform*, (eds) Ross Garnaut and Ligang Song, pp.1-16, Asia Pacific Press, Canberra.
- 1999 "Food Security in China: Self-Sufficiency or International Cooperation?" in *Productivity and Growth in Chinese Agriculture*, (eds) K. P. Kalirajan and Y. Wu, pp.221-238, Macmillian Press Limited, London.
- 1999 "Exchange Rates in the East Asian Crisis" in *ASEAN Economic Bulletin*, "Southeast Asia's Economic Crisis: Origins, Lessons and the Way Forward, Vol.15, No.3, December 1998.
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- 1998 "ASEAN and the Regionalization and Globalization of World Trade" in *ASEAN Economic Bulletin*, pp.215-223, Volume 14, No.3, Institute of Southeast Asian Studies, Singapore.
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- 1998 "Europe and Asia Pacific Economic Cooperation", pp.277-291, in *Europe, East Asia and APEC, A Shared Global Agenda?* (ed) P. Drysdale and D. Vines, Cambridge University Press.

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- 1995 (with Peter Drysdale) "East Asia in the International System: Asia Pacific Economic Cooperation and the Challenge of Discriminatory Trade", in R. Garnaut, E. Grilli & J. Riedel (eds), *Sustaining Export-Oriented Development*, pp.130-150, Cambridge University Press.
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- "Trade intensities and the analysis of bilateral trade flows in a many-country world: a survey", in R Garnaut and P. Drysdale (eds), *Asia Pacific Regionalism: Readings in International Economic Relations*, HarperEducation, Sydney, pp 20-35.
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- 1993 (with Guonan Ma) "How Rich is China: Evidence from the Food Economy", *Australian Journal of Chinese Affairs*, No.30, pp.121-148, July.
- 1993 "Getting Off The Sheep's Back", 9th Stan Kelly Memorial Lecture, Economic Society of Australia (Victorian Branch), 13 July 1993.
- 1993 (with Peter Drysdale) "The Pacific: An Application of a General Theory of Economic Integration", in C.F. Bergsten and M. Noland (eds.), *Pacific Dynamism and the International Economic System*, pp.183-224, Washington DC.
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- 1991 "Trade and Industry Policy", Economic Papers, Vol.10, No.2 pp 18-33, June.

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- "Protection, Structural Adjustment and Development", in John Langmore and David Peetz (eds), Wealth, Poverty & Survival, pp.161-170, Allen and Unwin.
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